#4

Patent Attorney's Docket No. <u>016660-103</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)	
Kar Yan TAM et al)	Group Art Unit: Unassigned
Application No.: Unassigned)	Examiner: Unassigned
Filed: October 1, 2001)	
For: METHODS FOR EMBEDDING DATA IN DIGITAL AUDIO DATA)	

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. A copy of each of the documents cited below is enclosed.

Foreign Patent Applications

Publication No.CountryPublication DateWO99/23657PCT05/14/1999

To assist the Examiner, the reference is listed on the attached form PTO-1449. It is respectfully requested that an initialled copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620

James A. LaBarre

Registration No. 28,632

Date: October 1, 2001

(5/00)

Patent Attorney's Docket No. <u>016660-103</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL LETTER

Box PATENT APPLICATION

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:



Enclosed for filing is the utility patent application of Kar Yan TAM, Kai Fung TSANG, Sai Ho KWOK and Shing Chi CHEUNG for Methods for Embedding Data in Digital Audio Data.

- Applicant(s) hereby request(s) that the above-captioned application **NOT BE** PUBLISHED under 35 U.S.C. § 122(b) and 37 C.F.R. § 1.211. The undersigned hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
- Applicant(s) suggest(s) Figure 2 for inclusion on the front page of the patent application publication and patent.

Also	enclosed are:
[X]	sheet(s) of [] formal [X] informal drawing(s);
[]	a claim for foreign priority under 35 U.S.C. §§ 119 and/or 365 is [] hereby made to _ filed in _ on _;
	[] in the declaration;
[]	a certified copy of the priority document;
[]	an Assignment document;
[X]	an Information Disclosure Statement;
[X]	a patent application bibliographic data sheet; and
[]	Other:
[X]	An [X] executed [] unexecuted declaration of the inventor(s)
	[] also is enclosed [X] will follow.
[]	Please amend the specification by inserting before the first line the sentence This

application claims priority under 35 U.S.C. §§ 119 and/or 365 to _ filed in _ on _; the

[] Please amend the specification by inserting before the first line the sentence:

entire content of which is hereby incorporated by reference.--

-- This application is a continuation of International Application No. _ filed on _, which International Application [] was [] was not published by the International Bureau in English on _.--.

Small entity status is hereby claimed.



21839

Page 2

[X] The filing fee has been calculated as follows [] and in accordance with the enclosed preliminary amendment:

为,我们就是这些人的。		L. CLAP	M S	加拉拉拉斯斯			
	No. Of CLAIMS		EXTRA CLAIMS	RATE	FEE		
Basic Application Fee					\$740.00 (101)		
Total Claims	28	MINUS 20 =	8	× \$18.00 (103) =	144.00		
Independent Claims	7	MINUS 3 =	4	× \$84.00 (102) =	366.00		
If multiple dependent claims are presented, add \$280.00 (104)				-0-			
Total Application Fee				1,220.00			
If small entity status is claimed, subtract 50% of Total Application Fee				610.00			
Add Assignment Recording Fee \$40.00 (581) if Assignment document is enclosed				-0-			
TOTAL APPLICATIO	N FEE DUE	的影响表验	WALLEY		610.00		

[].	This application is being filed without a filing fee.	Issuance of a Notice to File Missing
	Parts of Application is respectfully requested.	_

- [X] A check in the amount of \$ 610.00 is enclosed for the fee due.
- [] Charge \$ _____ to Deposit Account No. 02-4800 for the fee due.
- [X] The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Please address all correspondence concerning the present application to:

James A. LaBarre BURNS, DOANE, SWECKER & MATHIS, L.L.P. P.O. Box 1404 Alexandria, Virginia 22313-1404.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: October 1, 2001

James A. LaBarre Registration No. 28,632

P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620

Patent Attorney's Docket No. <u>016660-103</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent A	Application of)
Kar Yan TAI	M et al) Group Art Unit: Unassigned
Application N	No.: Unassigned) Examiner: Unassigned
Filed: Octob	er 1, 2001)
	HODS FOR EMBEDDING DATA)))
		LOSURE STATEMENT FAL LETTER
Assistant Cor Washington,	nmissioner for Patents D.C. 20231	
Sir:		
	osed is an Information Disclosure Stated patent application.	atement and accompanying form PTO-1449 for the
[X]	No additional fee for submission	of an IDS is required.
[]	The fee of \$180.00 (126) as set for	orth in 37 C.F.R. § 1.17(p) is also enclosed.
[]	A certification under 37 C.F.R. §	1.97(e) is also enclosed.
[]	A certification under 37 C.F.R. § in 37 C.F.R. § 1.17(p) are also ex	1.97(e), and the fee of \$180.00 (126) as set forth nclosed.
[]	Charge \$to Deposi	t Account No. 02-4800 for the fee due.
[]	A check in the amount of \$	is enclosed for the fee due.
§§ 1.16, 1.17		o charge any appropriate fees under 37 C.F.R. nis paper, and to credit any overpayment, to mitted in duplicate.
	R	espectfully submitted,
P.O. Box 140 Alexandria, V (703) 836-662	04 B Virginia 22313-1404	y: James A. LaBarre Registration No. 28,632
Date: Octobe	er 1 2001	

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

	SHEET	
TTORNEY'S DKT NO.	APPLICATION NO.	P
16660-103	Unassigned	
APPLICANT		. S
ar Yan TAM et al		_>გ
ILING DATE	GROUP	36
ctober 1, 2001	Unassigned	<u>öğ</u>

U.S. PATENT DOCUMENTS								
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Examiner Initials	Ni. saab aa	Kind Code	Name of Patentee or Applicant of Cited Document			Date of Publication (MM-DD-YYYY)		
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	Foreign Patent				<u> </u>			
Examiner Initials	Number	Kind Code (if known)	Country			ate of Publication (MM-DD-YYYY)	Trans Yes	lation no
	W099/23657		PCT			05/14/1999		""
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Examiner Signature				Date Considered				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.